

Arizona Department of Liquor Licenses and Control 800 W Washington 5th Floor Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

DLLC USE ONLY


Application for Liquor License Type or Print with Black Ink

## APPLICATION FEE AND INTERIM PERMIT FEES (IF APPLICABLE) ARE NOT REFUNDABLE A service fee of $\$ 25$ will be charged for all dishonored checks (A.R.S. $\$ 44-6852$ )

SECTION 1 Type of License
Interim Permit
New License
$\square$ Person Transfer
$\square$ Location Transfer (series 6, 7 and 9)
$\square$ Probate/ Will Assignment/ Divorce Decree (No Fees)
$\square$ Seasonal

SECTION 2 Type of Ownership
$\square$ J.T.W.R.O.S.
$\square$ Individual
$\square$ Partnership
$\square$ Corporation
$\square$ Limited Liability Co
$\square$ Club
$\square$ Government
$\square$ Trust
$\square$ Tribe
$\square$ Other (Explain)

SECTION 3 Type of lIcense $\square$ Add Sampling Privilege for Series 9 and 10 only (Complete Sampling Privilege application) A.R.S.§4-206.01 (G), (H), (I) \& (L)
$\square$ Add Growler privileges (restaurant, series 12 , license only. 300-foot restriction applies) A.R.S.§4-207(A) \& (B)
1.Type of License (restaurant, bar etc.): Series 12
2. LICENSE \# (if issued): $12 / 1330 /$

## SECTION 4 Applicants


8. Is the Business located within the incorporated limits of the above city or town? $\square$ Yes $\square$ No If you checked no, in what City, Town, County or Tribal/Indian Community is this business located? $\qquad$

$$
\begin{aligned}
& \text { Fees: } \frac{\$ 100.00}{\text { Application }} \\
& \text { Department Use Only }
\end{aligned}
$$

$$
\$-\frac{342 \cdot 00}{\text { Total of All Fees }}
$$

Is Arizona Statement of Citizenship \& Alien Status for State Benefits complete?

## SECTION 5 Background Check

EACH PERSON LISTED MUST SUBMIT A QUESTIONNAIRE, FINGERPRINT CARD ALONG WITH \$22. PROCESSING FEE PER CARD. 1. If the applicant is an entity, not an individual, answer questions lab.
a) Date Incorporated/Organized: $\qquad$ 5

2. List any individual or entity that own a beneficial interest of $10 \%$ or more and/or controls the license. If the applicant is owned by another entity, attach an organizational chart showing the ownership structure. Attach additional sheets as needed to disclose any controlling person, member, shareholder or general partner who owns a beneficial interest of 10 $\%$ or more of the license.


## SECTION 6 interim Permit

If you intend to operate business while your application is pending you will need an interim permit pursuant to A.R.S.§4-203.01 For approval of an interim permit:

- There must be a valid license of the same series issued to the current location you are applying for $O R$
- A Hotel/Motel license is being replaced with a restaurant license pursuant to A.R.S.§4-203.01 (A)

1. Enter license number currently at the location: 12113083
2. Is the license currently in use? $\square$ Yes $\square$ No If no, how long has it been out of use? $\qquad$ I, (Signature) $\qquad$ declare that I am the CURRENT OWNER, AGENT, OR CONTROLLING PERSON on the stated license and location.

Attach a copy of the license currently issued at this location to this application.


SECTION 7 Probate, Receiver, Bankruptcy Trustee, Assignment, or Divorce Decree of an existing liquor license ARS § 4-204 EACH PERSON LIITED MUST SUBMIT A QUESTIONNAIRE, FINGERPRINT CARD ALONG WITH \$22. PROCESSING FEE PER CARD.

1. Current Licensee's Name:

| (Exactly as it appears on the license) | Last | First | Middle |
| :--- | :--- | :--- | :--- |
| 2. Assignee's Name: | Last | First | Middle |

## ATTACH A COPY OF THE DOCUMENT THAT SPECIFICALLY ASSIGNS THE LIQUOR LICENSE TO THE ASSIGNEE.

## SECTION 8 Government (for Cities, Towns or Counties only)

1. Government Entity: $\qquad$ .
2. Person/Designee:
Last First Middle__________ Daytime Contact Phone \#

A SEPARATE LICENSE MUST BE OBTAINED FOR EACH PREMISES FROM WHICH SPIRITUOUS LIQUOR IS SERVED.
SECTION $9 \square$ Person to Person - Current Licensee Information ARS§4-203(C), (D), (G)
(Bar and Liquor Stores only - Series 06, 07 and 09)

1. License \#: $\qquad$
2. Current Agent Name: $\qquad$
3. Current Licensee Name: $\qquad$
(Exactly as it appears on the license)
4. Current Business Name: $\qquad$
(Exactly as it appears on the license)
5. Current Daytime Phone: $\qquad$ Primary Email Address: $\qquad$
6. Does current licensee intend to operate the business while this application is pending? $\square$ Yes $\square$ No
7. I authorize the transfer of this license to the applicant: $\qquad$
Signature or Agent or Individual controlling person

## NOTARY

State of Arizona
County of $\qquad$
On this $\qquad$ 20 $\qquad$ before me personally appeared $\qquad$ (Print Name of Document Signer)
Whose identity was proven to me on the basis of satisfactory evidence to be the person who he or she claims to be and acknowledged that he or she signed the above/attached document.

> Signature of NOTARY PUBLIC
(Affix Seal Above)

SECTION 10 Proximity to Church or School - Questions to be completed by 6, 7, 9, 10 and 12G applicants.
A.R.S.§4-207. $(A)$ and $(B)$ state that no retailer's license shall be issued for any premises which are at the time the license application is received by the director, within three hundred (300) horizontal feet of a church, within three hundred (300) horizontal feet of a public or private school building with kindergarten programs or grades one (1) through (12) or within three hundred (300) horizontal feet of a fenced recreational area adjacent to such school building.

The above paragraph DOES NOT apply to:
a) Restaurants that do not sell growers (A.R.S. $\$ 4205.02$ ) Series 12
b) Hotel/motel license (A.R.S. $\S 4205.01$ ) Series 11
c) Microbrewery (A.R.S. $\$ 4205.08$ ) Series 3
d) Croff Distillery (A.R.S. $\$ 4205.10$ ) Series 18
e) Govemment license (A.R.S.§4205.03) Series 5
f) Playing area of agolf course (A.R.S. $\$ 4207$ (B) (5))
g) Wholesaler/Distributor Series 4
h) Form Winery Series 13
I) Procucer Series 1


SECTION 11 Business Financials A.R.S.§4-202(F)
1.1 am the:

## $\square$ Tess <br> $\square$ Owner <br> $\square$ Purchaser <br> $\square$ Management Company

tenant: a person who holds the lease of a property; a lessee.
(Sub-tenant: a person who holds a lease which was given to another person (tenant) for all or part of a property.
2. If the premises is leased give lessors:

Nome: Parks Enterpases
Adders: $\frac{7110 \text { Minable }}{\text { street }}$
3. What is the penally if the lease is not fulfilled? \$ $\qquad$ or Other: Closure
4. Total money borrowed for the Business not including lease? \$ $\$ 10,000^{\circ 0}$

Please List Lenders/People you owe money to for business.

5. Has a license or a transfer license for the premises on this application been denied by the state within the past year?
$\square$ Yes No
If yes, attach explanation.
6. Does any spirituous liquor manufacture, wholesaler, or employee have an interest in your business?
$\square$ Yes If yes, attach explanation.

## SECTION 12 Diagram of Premises

Check ALL boxes that apply to your business:
Walk-up or drive-through windows
Patio:
Contiguous
NonContiguous within 30 feet

1. Is your licensed premises now closed due to construction, renovation or redesign or rebuild?
$\square$ Yes $\quad$ No If yes, what is your estimated completion date? $\qquad$ 1 $\qquad$
Please attach a diagram of the premises which clearly show only the areas where spirituous liquor will be sold, served, consumed, dispensed, possessed or stored. Include ail entrances, exits, interior walls, bar areas, dining areas, dance floor, stage, game room and the kitchen. DO NOT INCLUDE parking lots, living quarters or areas where business is not conducted under this liquor license. When completing your premises diagram, please identify which orientation is North.


2．Provide the square footage or outside dimensions of the licensed premises．Please do not include non－licensed areas such as parking lots，living quarters，etc．

3．As stated in A．R．S．§4－207．01（B），I understand it is my responsibility to notify the Department of Liquor Licenses and Control when there are changes to the service areas or the square footage of the licensed premises，either by increase or decrease．


## RESTAURANTS AND HOTELS／MOTELS ONLY

（IMPORTANT NOTE：A site inspection must be conducted prior to activation of the license．The fee of $\$ 50.00$ will be due and payable upon submitting this application．）

Aa．Provide a detailed drawing of the kitchen and dining areas，including the locations of all kitchen equipment and dining furniture，these are required as part of the diagram．A．R．S．§4－205．02（C）

4b．Provide a restaurant operation plan．

## SECTION 13 SIGNATURE BLOCK

1，（Signature）
 hereby declare that I am the Owner／Agent filing this application，I have read this document and verify the content and all statements are true，correct and complete，to the best of my knowledge．

## NOTARY



On this
 $201 \%$ before me personally appeared
 Whose identity was proven to ma bathe basis＇ffesatisfactory evidence to be the person who he or she claims to be and acknowledged that he or she sing ed tm
（Affix Seal Above）


## A．R．S．§41－1030．Invalidity of rules not made according to this chapter；prohibited agency action；prohibited acts by state employees；enforcement；notice

B．An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute，rule or state tribal gaming compact．A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition．

D．THIS SECTION MAY BE ENFORCED IN A PRIVATE CIVIL ACTION AND RELIEF MAY BE AWARDED AGAINST THE STATE． THE COURT MAY AWARD REASONABLE ATORNEY FEES，DAMAGES AND ALL FEES ASSOCIATED WITH THE LICENSE APPLICATION TO A PARTY THAT PREVAILS IN AN ACTION AGAINST THE STATE FOR A VIOLATION OF THIS SECTION．

E．A STATE EMPLOYEE MAY NOT INTENTIONALLY OR KNOWINGLY VIOLATE THIS SECTION．A VIOLATION OF THIS SECTION IS CAUSE FOR DISCIPLINARY ACTION OR DISMISSAL PURSUANT TO THE AGENCY＇S ADOPTED PERSONNEL POLICY．

F．THIS SECTION DOES NOT ABROGATE THE IMMUNITY PROVIDED BY SECTION 12－820．01 OR 12－820．02．

# Department of Liquor Licenses and Control ALCOHOLIC BEVERAGE LICENSE License 12113083 

Issue Date: 6/15/2004
Issued To:
THOMAS RICHARD PARKS, Agent
PARKS ENTERPRISES INC, Owner
Location:
DIRTWATER SPRINGS
586 W APACHE TRAIL
APACHE JUNCTION, AZ 85220

Expiration Date: 8/31/2018

Mailing Address:
THOMAS RICHARD PARKS PARKS ENTERPRISES INC
DIRTWATER SPRINGS
586 W APACHE TRAIL
APACHE JUNCTION, AZ 85220


## Arizona Department of Liquor Licenses and Control 800 W Washington fth Floor

Phoenix, AZ, 85007-2934
www.azliquor.gov
(602) 542-5141

## RESTAURANT OPERATION PLAN

## DLLC USE ONLY LICENSE\# $121 / 3301$

1. Name of restaurant (Please print): Drtwater SpringS
2. List by Make, Model, and Capacity of your. (If you attached a legible copy of your equipment list, only provide the following items:)

3. Attach a copy of your full menu including prices (examples: Breakfast, Lunch, Dinner, and Nonalcoholic beverages).
4. List the seating capacity for:
a. Restaurant dining area of your premises: (Do not include patio seating)
1.24
b. Bar area of your premises:

c. Total dining and bar seating capacity of your premises:
$[=135 \quad 1$
5. What Type of dinnerware and utensils are utilized within your restaurant?
$\square$ Reusable $\square$ Disposable $\square$ Both
6. Does your restaurant have a bar area that is distinct and separate from the dining area
(If yes, what percentage of the public floor space does this area cover?) $\qquad$ \%
7. What percentage of your public premises is used primarily for restaurant dining?
(Do not include kitchen, bar, hi-top tables, or game area.) $\qquad$ \%
8. Does your restaurant contain any games, televisions, or any other entertainment? $\triangle \mathbb{Z}$ YES $\square$ No (If yes, specify what types and how many (examples: 4-TV's, 2-Pool Tables, 1-Video Game, etc.)
$3-T V_{s}$ $\qquad$
$\qquad$
$\qquad$
$\qquad$
9. Do you have live entertainment or dancing? $\triangle$ YES $\square$ No
(if yes, what type and how often (example: DJ- 2 x a week, Karaoke- 2 x a month, Live Band-1 x a month, etc.)
Live music $2 x$ a week Karaoke ix a week for l month
10. Use space below to list how many employees for each position to fully staff your business.

| Position | How many |
| :--- | :---: |
| Cooks | 12 |
| Bartenders |  |
| Hostesses | 1 |
| Managers | 5 |
| Servers | 15 |
| Other(Dishwashor) | 5 |
| Other( |  |
| Other( |  |

(Print full name)
I have read this application and the contents and all statements true, correct and complete.



## BIPHWAMERAPPETTLEB

Beer Battered Zucchini 9.95

## Beer Battered Mushrooms 9.95

> Combo Platter
ings, Mushrooms, Cheese Sticks
Onlon Rings 16.50

## Onion Rings 9.95

Fried Cheese Sticks 9.95
Nachos
Layers of crispy chlps, beans, tomatoes, sour cream ADD Shredded gane, black olives 12.95
ADD Shredded Beef Ground Beef, Green Chlli Pork Jarapenos on request

Beer Battered Shrimp
Basket of shrimp with two extra Jumbo shrimp 12.50

Stuffed Potato Skins 11.50 ADD Shredded Boef, Ground Bee

Or
Chicken Wings (12)
Cholce of sauce for dipping 12.95
Hot Mid Honey Garic, Honey BBO Soicy BBO Honey Hat)
Boneless Chicken Wings (12)
Cheese Crisp Plain 10.50
ADD Shredded Exa Toppings $50 \phi$ Each.
Jalapena Poppers 10.50
Unlimited Hand-Sliced Fries 6.50 Chill Cheese Frtes 9.95
Hand sliced fries with chilit cheese and onions

## BITHD FOTH DWN SANDWIRH

We build your sandwlches to order, we are now offering soft pretzel buns. Includes your choice of macaronis salad, coleslaw, tresh fruit, potato salad or unlimited hand sliced fries, Substitute baked potato or salad 1.50 ADD a Salad 3.00 ADD Pepper Jack, Provolone, American, Swlss or Cheddar Jack cheese .75 ADD Avocado, Bacon or Green Chilles 2.00

Dirtwater Burger (1/2 Lb) 11,95 Add cheese 75
Cheeseburger Club* 13.95
Chicken Strips 11.95
Patty Melt
$1 / 2 \mathrm{lb}$. paty on ye, with grilled onions and Swiss cheese, 1000 Island dressing on request 11.95

Grilled Chicken Breast Filet 12.95
Buffalo Chicken Sandwich 13:50 Add bacon 2.00 Grilled Reuben
A classic combination of lean corned beef, homemade red sauerkraut or regular sauerkraut and Swiss cheese, served on griiied marble rye bread. 1000 Island dressing upon request 12,50

## French Dip

Tender thin-sliced prime rlb sorved on a Franch roll with au jus for dlpping 12.95
ADD provolone and sautéed mushrooms for 2.00

Dirtwater Club A triple-decker loaded with, ham, turkey, crispy bacon, American and Swiss cheese, eituce, siced tomatoes and mayo on your choice of toast.

## Steak Sandwich*

$$
6 \text { oz. charbrailed rib-eye served on a roll }
$$

$$
\text { with cholce of soup or salad end one side } 16.50
$$

## Dirtwater Philly

Tender thin-siiced rib-eye or chicken with melted Swiss, sautéed green peppers and purpie onions served on a fresh roli 12.50

Classic "BLT" 10.95
Croissanwich

Choice of turkey or ham with melted cheese with garnish, served hot on a fresh croissant 11.50 Substitute Prime Rib 2.00

## ASK ABOUT SPECIALTY

1/3 L.b. Burgers 10.95

Served with soup or salad and choice:of homemade mashed potatoes, baked potato twice baked potato or hand sliced French fries
Roasted Prime 10 oz. 25.9516 oz. 30.50 Filet Mignon \& Shrimp* Two 4 oz. medallions with choice of shrimp 28.95

## Ribeye*


T-Bone Steak
16 oz. 29.50

## BHUEK WAGON PAVDHITR

Served with soup or salad and cholce of homemade mashed potatoes, baked potato twice baked potato; hand sliced French fries or one of our specially fries.

Chicken Fried Steak
Tender cube steak battered and fried
served with chicken gravy 14.50
Fried or Baked Chicken
Large tender portion 17.95
Bonele ss Chicken Breast
Fried or grilled 15.50
Grilled Pork Chop
One 12 oz. thick-cut chop 17.95
Ground Sirloin Steak
Sautéed mushrooms and onlons with gravy 15.95

Half slab of St Louls style pork ribs 18.95 Add half slab 11.95 Jumbo Fried Shrimp
Five lightly battered and erisply fred 18.50 Fried Catfish
Ughtly battered and crisply frled or
served cajun style 17.9
Alaskan Cod
Broiled or deep-fried 18.95
Ham Steak Dinner (8 oz.) 14.50
Liver \& Onions (B oz.) 14.50

## SAUTHEFTHE BARBER

Can All entrees come with beans \& tic
期
mall side of Sour Cream or Guacamole: 2 oz. 1.504 oz . 2.50


Burritos
ound Beef, Chicken, Shredded Beef or Green Chill Pork 11.95 Request guacamole \& sour cream Chimichanga

## Ground Beef, Chicken

Shredded Beef
or Green Chlli Pork 11.75
Request guacamole \& sour cream Taco
Shredded Beef 9.75

## Taco Salad

a crisp flour torilia filied with lettuce amatoes, cheese and black ollves or shredded beef with a side of guacamole and sour cream 12.50

## Tostadas

 Bean 8.50 Ground Beef, Chicken,Shredded Beef
or Green Chili Pork 9.75

Enchilada
Ground Beef, Chicken, Shredded Beef or Green Chili Pork 9.75

Homemade Chili Served with fresh tortlila Green Chill Pork Bowl 9.50 Cup 5.95 Spicy and hot with cheese \& onions Bowl 9.50 Cup 4.95


## SECTION III - ALIEN STATUS DECLARATION

To be completed by applicants who are not citizens or nationals of the United States. Please indicate alien status by checking the appropriate box. Attach a legible copy of a document from the aftached list or other document as evidence of your status.

## Name of document provided

Qualified Alien Status (8 U.S.C.§§ 1621 (a)(1),-1641(b) and (c))
$\square$ 1. An alien lawfully admitted for permanent residence under the Immigration and Nationality Act (INA)
$\square$ 2. An alien who is granted asylum under Section 208 of the INA.
$\square$ 3. A refugee admitted to the United States under Section 207 of the INA.
$\square$ 4. An alien paroled into the United States for at least one year under Section 212(d) (5) of the INA.
$\square$ 5. An alien whose deportation is being withheld under Section $243(\mathrm{~h})$ of the INA.6. An alien granted conditional entry under Section 203(a)(7) of the INA as in effect prior to April $1,1980$.7. An alien who is a Cuban/Haitian entrant.8. An alien who has, or whose child or child's parent is a "battered alien" or an alien subject to extreme cruelty in the United States.

Nonimmigrant Status (8 U.S.C. § 1621 (a)(2))
$\square$ 9. A nonimmigrant under the Immigration and Nationality Act [8 U.S.C § 1101 et seq.] Non immigrants are persons who have temporary status for a specific purpose. See 8 U.S.C § 1101 (a)(15).

## Alien Paroled into the United Stafes for Less Than One Year (8 U.S.C. § 1621 (a)(3))

10. An alien paroled into the United States for less than one year under Section $212(\mathrm{~d})(5)$ of the INAOther Persons (8 U.S.C § 1621 (C) (2) (A) and (C)11. A nonimmigrant whose visa for entry is related to employment in the United States, or
12. A citizen of a freely associated state, if section 141 of the applicable compact of free association approved in Public Law 99-239 or 99-658 (or a successor provision) is in effect [Freely Associated States include the Republic of the Marshall Islands, Republic of Palau and the Federate States of Micronesia, 48 U.S.C. § 1901 et seq.];13. A foreign national not physically present in the United States.

## Otherwise Lawfully Present

14. A person not described in categories $1-13$ who is otherwise lawfully present in the United States.

PLEASE NOTE: The federal Personal Responsibility and Work Opportunity Reconciliation Act may make persons who fall into this category ineligible for licensure. See 8 U.S.C. § 1621 (a).


## State of Arizona Department of Liquor Licenses and Control 800 W. Washington $5^{\text {th }}$ Floor <br> Phoenix, AZ 85007 (602) 542-5141

## ARIZONA STATEMENT OF CITIZENSHIP OR ALIEN STATUS FOR STATE PUBLIC BENEFITS

Title IV of the federal Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (the "Act"), 8 U.S.C. § 1621, provides that, with certain exceptions, only United States citizens, United States non-citizen nationals, non-exempt "qualified aliens" (and sometimes only particular categories of qualified aliens), nonimmigrant, and certain aliens paroled into the United States are eligible to receive state, or local public benefits. With certain exceptions, a professional license and commercial license issued by a State agency is a State public benefit.

Arizona Revised Statutes § 41-1080 requires, in general, that a person applying for a license must submit documentation to the license agency that satisfactorily demonstrates the applicant's presence in the United States is authorized under federal law.

Directions: All applicants must complete Sections I, II, and IV. Applicants who are not U.S. citizens or nationals must also complete Section III.

Submit this completed form and a copy of one or more documents) from the attached "Evidence of U.S. Citizenship, U.S. National Status, or Alien Status" with your application for license or renewal. If the document you submit does not contain a photograph, you must also provide a government issued document that contains your photograph. You must submit supporting legal documentation (ie. marriage certificate) if the name on your evidence is not the same as your current legal name.

## SECTION I - APPLICANT INFORMATION

INDIVIDUAL OWNER/AGENT NAME (Print or type)
 Anne Barnum

## SECTION II - CITIZENSHIP OR NATIONAL STATUS DECLARATION

Are you a citizen or national of the United States?
DYes
$\square$ No
If Yes, indicate place of birth:
City Johnson City State (or equivalent) New York country or Territory United States
If you answered Yes, 1) Attach a legible copy of a document from the attached list.
2) Name of document: Arizona Driver License Go to Section IV.

If you answered No, you must complete Section III and IV.
11. Provide your residence address information for the last five (5) years: A.R.S. §4-202(D)

| ${ }_{\text {moirum }}^{\text {moar }}$ | monitrear | Resiownal steet Adders |
| :---: | :---: | :---: |
| 1112 | Curent | 939 N. $59^{\text {th }}$ St Mesa $\mathrm{H}_{2} 85205$ |
|  |  |  |
|  |  |  |
|  |  |  |

(ATIACH ADDITONAL SHEET IF NECESSARY)
12. As a Controlling Person or Agent, will you be physically present and operating the licensed premises? If you answered YES, then answer \#13 below. If NO, skip to \#14.
13. Have you attended a DLLC approved Basic \& Management Liquor Law Training Course within the past 3 years?
14. Have you been cited, arrested, indicted, convicted, or summoned into cout for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years?
15. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or summonses pending against you? (Do not include civil traffic tickets.) A.R.S.§4-202,4-210
16. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? $\square$ Yes XNo
17. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. $\$ 4-202(D)$
18. Has an entity in which you are or have been a controlling person had an application or license rejected. denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S.§4-202(D)

If you answered "YES" to any Question 14 through 18 YOU MUST attach a signed statement. Give complete details including dates, agencies involved and dispositions.

CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED


The Licensee has authorized the person named on this questionnaire to act as manager for the above License.


Arizona Department of Liquor Licenses and Control 800 W Washington $5^{\text {th }}$ Floor
Phoenix, AZ 85007-2934
www.azliquor.gov (602) 542-5141

| QUESTIONNAIRE |
| :---: |
| A.R.S. $\$ 4-202,4-210$ |
| Type or Print with Black Ink |

The fees allowed by R19-1-102 will be charged for all dishonored checks. $\qquad$
ATTENTION APPLICANT: This is a legally binding document. Please type or print in black ink. An investigation of 6 ur background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

Attention local governments: Social security and birth date information is confidential. This information may be given to law enforcement agencies for background checks only.
QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A BLUE OR BLACK LINED FINGERPRINT CARD ALONG WITH A $\$ 22$ fee. fingerprints must be done by a law enforcement agency or bona hide fingerprint service. for an additional \$13 fee, fingerprints may be done at the department of liquor when accompanied by a completed application.

Liquor License\#: $\qquad$

1. Check the Appropriate Box

2. Name: $\qquad$ Brenda Birth Date: Fist $\quad$ Ane $\qquad$ (NOT a public record)
3. Social Security \#:

Driver License\#: $\qquad$ B/4822049 State: $\qquad$ $\mathrm{Al}_{2}$
4. Place of birth: $\qquad$ 1.14 USA team Sib $^{4}$ memento Eyes: $B R$ Hair: $B R$
5. Name of current/most recent spouse:
 $\underset{\text { Barnum, Jason }}{\text { List }}$ Mathew Middle Birth Date: .

7. Daytime telephone number: $\qquad$ 4802460802 E-mail address: brenda $C$ dirstanter
8. Business Name: $\qquad$ Dirtwater Springs

10. List your employment or type of business during the past five (5) years. If unemployed, retired, or student, list residence address.

(ATTACH ADDITIONAL SHEET IF NECESSARY)
13. Off-site Catering Records (must be complete and separate from restaurant records)
A. All documents which support the income derived from the sale of food off the license premises.
B. All documents which support purchases made for food to be sold off the licensed premises.
C. All coupons/specials/discounts

The sophistication of record keeping varies from establishment to establishment. Regardless of each licensee's accounting methods, the amount of gross revenue derived from the sale of food and liquor must be substantially documented.

## REVOCATION OF YOUR LIQUOR LICENSE MAY OCCUR IF YOU FAIL TO COMPLY WITH A.R.S. §4-210(A)7 AND A.R.S. §4-205.02(G).

## A.R.S. §4-210(A)7

The licensee fails to keep for two years and make available to the department upon reasonable request all invoices, records, bills or other papers and documents relating to the purchase, sale and delivery of spirituous liquors and, in the case of a restaurant or hotel-motel licensee, all invoices, records, bills or other papers and documents relating to the purchase, sale and delivery of food.

## A.R.S. §4-205.02(G)

For the purpose of this section:
1."Restaurant" means an establishment which derives at least forty percent (40\%) of its gross revenue from the sale of food 2."Gross revenue" means the revenue derived from all sales of food and spirituous liquor on the licensed premises, regardless of whether the sales of spirituous liquor are made under a restaurant license issued pursuant to this section or under any other license that has been issued for the premises pursuant to this article.

## NOTARY

1, (Print full Name) Brerda Anne Barnum, have read and understand all aspects of this statement
$X$ (Signature)


State of $\frac{\text { Ar/zana County of Maric opa }}{\text { the foregoing instrument wos acknowledged before me this }}$


## MAKE A COPY OF THIS DOCUMENT AND KEEP IT WITH RECORDS REQUIRED BY THE STATE



Arizona Department of Liquor Licenses and
 Control
800 W Washington 5th Floor
Phoenix, AZ 85007-2934
www.azliquor.gov
(602) 542-5141

## RECORDS REQUIRED FOR AUDIT <br> Applies to Series 11 (Hotel/Motel W/Restaurant) \& Series 12 (Restaurant) Only

## MAKE A COPY OF THIS DOCUMENT AND KEEP IT WITH YOUR DLLC RECORDS

In the event of an audit, you will be asked to provide to the Department any documents necessary to determine compliance with A.R.S. §4-205.02(G). Such documents requested may include however, are not limited to:

1. All invoices and receipts for the purchase of food and spirituous liquor for the licensed premises.

## 2. A list of all food and liquor vendors

3. The restaurant menu used during the audit period
4. A price list for alcoholic beverages during the audit period
5. Mark-up figures on food and alcoholic products during the audit period
6. A recent, accurate inventory of food and liquor (taken within two weeks of the Audit Interview Appointment)
7. Monthly Inventory Figures - beginning and ending figures for food and liquor
8. Chart of accounts (copy)
9. Financial Statements-Income Statements-Balance Sheets
10. General Ledger
A. Sales Journals/Monthly Sales Schedules
1) Daily sales Reports (to include the name of each waitress/waiter, bartender, etc. with sales for that day)
2) Daily Cash Register Tapes - Journal Tapes and Z-tapes
3) Dated Guest Checks
4) Coupons/Specials/Discounts
5) Any other evidence to support income from food and liquor sales
B. Cash Receipts/Disbursement Journals
6) Daily Bank Deposit Slips
7) Bank Statements and canceled checks

11. Tax Records
A. Transaction Privilege Sales, Use and Severance Tax Refurn (copies)
B. Income Tax Refurn - city, state and federal (copies)
C. Any supporting books, records, schedules or documents used in preparation of tax returns
12. Payroll Records
A. Copies of all reports required by the State and Federal Government
B. Employee Log (A.R.S. §4-119)
C. Employee time cards (actual document used to sign in and out each work day)
D. Payrohbecords for all employees showing hours worked each week and hourly wages

## SECTION IV - DECLARATION

## All applicants must complete this section.

I declare under penalty of perjury under the laws of the state of Arizona that the answers and evidence I have given are true and correct to the best of my knowledge.


## EVIDENCE OF USS. CITIZENSHIP, U.S. NATIONAL STATUS, OR ALIEN STATUS

You must submit supporting legal documentation (ie. marriage certificate) if the name on your evidence is not the same as your current legal name.

Evidence showing authorized presence in the United State includes the following:

1. An Arizona driver license issued after 1996 or an Arizona non-operating identification card.
2. A driver license issued by a state that verifies lawful presence in the United States.
3. A birth certificate or delayed birth certificate showing birth in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time)
4. A United States certificate of birth abroad.
5. A United States passport. ***Passport must be signed***
6. A foreign passport with a United States visa.
7. An l-94 form with a photograph.
8. A United States citizenship and immigration services employment authorization document or refugee travel document.
9. A United States certificate of naturalization.
10. A United States certificate of citizenship.
11. A tribal certificate of Indian blood.
12. A tribal or bureau of Indian affairs affidavit of birth.
13. Any other license that is issued by the federal government, any other state government, an agency of this state or a political subdivision of this state that requires proof of citizenship or lawful alien status before issuing the license.


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Arizona Department of Liquor Licenses and Control 800 W Washington $5^{\text {th }}$ Floor
Phoenix, AZ 85007-2934
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| QUESTIONNAIRE |
| :---: |
| A.R.S.§4-202, 4-210 |
| Type or Print with Black Ink |

The fees allowed by R19-1-102 will be charged for all dishonored checks.


ATTENTION APPLICANI: This is a legally binding document. Please type or print in black ink. An investigation of yob background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

Attention lac al governments: Social security and birth date information is confidential. This information may be given to law enforcement agencies for background checks only.
QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLlING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A BLUE OR BLACK LINED FINGERPRINT CARD ALONG WITH A $\$ 22$ FEE. FINGERPRINTS MUST BE DONE by a law enforcement agency or bona fine fingerprint service. for an additional \$13 fee, fingerprints may be done at the department of liquor when accompanied by a completed application.

2. Name: $\qquad$
Sviatos lav I Compete en we we Birth Date •
3. Social Security \#: $\qquad$ - .unemicenome: $\qquad$ D02718149 State: $\qquad$ Comemem
$\qquad$ regent 6 '01" wont 190 tor $B R D_{\text {mo is }} \cdot B R O$
5. Name of current/most recent spouse: $\qquad$ Birth Date: $\qquad$ 1 $\qquad$ 1 $\qquad$
6. Are you a bona fide resident of Arizona? DYes $\square$ No If yes, what is your date of residency: $\qquad$
7. Daytime telephone number: $\qquad$ 480-734-9206 E-mail address: $\qquad$ slava@dotsenkoweb.com
8. Business Name: $\qquad$ Dist water Springs Business Phone: $480,983,3428$

10. List your employment or type of business during the past five (5) years. If unemployed, retired, or student, list residence address.

(ATTACH ADDITIONAL SHEET IF NECESSARY)
11. Provide your residence address information for the last five (5) years: A.R.S. §4-202(D)

| FROM Month/Year | TO Month/Year | - RESIDENTIAL Street Address |
| :---: | :---: | :---: |
| $11.12$ | CURRENT |  |
|  | $\because$ |  |
|  |  |  |
|  |  |  |

(ATIACH ADDITIONAL SHEET IF NECESSARY)
12. As a Controlling Person or Agent, will you be physically present and operating the licensed premises? If you answered YES, then answer \#13 below. If NO, skip to \#14.
13. Have you attended a DLLC approved Basic \& Management Liquor Law Training Course within the past 3 years?
14. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years? .


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## QUESTIONNAIRE

A.R.S.§4-202, 4-210

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QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A BLUE OR BLACK LINED FINGERPRINT CARD ALONG WITH A $\$ 22$ FEE. FINGERPRINTS MUST BE DONE by a law enforcement agency or bona fine fingerprint service. for an additional \$13 fee, fingerprints may be done at the department of liquor when accompanied by a completed application.

2. Name
 Birth Date: (inv a public record)
3. Social Security \#: $\qquad$ Driver License\#:
104343969 State: $A Z$

5. Name of current/most recent spouse: $\qquad$ Birth Date: $\qquad$
6. Are you a bona file resident of Arizona? Yes $\square$ No If yes, what is your date of residency: $\qquad$ 7. Daytime telephone number: 4807032662 email address: val 0 dotsenkoweb.com
8. Business Name: Dirtwater Springs $\qquad$ Business Phone: $480,983,3478$
9. Business Location Address:

10. List your employment or type of business during the past five Thy years. Tr unemployed, refire, or student, list residence address

(ATTACH ADDITIONAL SHEET IF NECESSARY)
11. Provide your residence address information for the last five (5) years: A.R.S. §4-202(D)

(ATTACH ADDITIONAL SHEET IF NECESSARY)
12. As a Controlling Person or Agent, will you be physically present and operating the licensed premises? If you answered YES, then answer \#13 below. If NO, skip to \#14.
13. Have you attended a DLLC approved Basic \& Management Liquor Law Training Course within the past 3 years?
14. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years?
15. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or summonses pending against you? (Do not include civil traffic tickets.) A.R.S.§4-202,4-210
16. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation?
17. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S.§4-202(D)
18. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D)

If you answered "YES" to any Question 14 through 18 YOU MUST attach a signed statement. Give complete details including dates, agencies involved and dispositions.

CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED

## NOTARY

 hereby declare that I am the Agent/ Controlling Person / Premises Manager filing this application. I have read this document and verify the contents and all statements are true, correct and complete, to the best of my knowledge.


The Licensee has authorized the person named on this questionnaire to act as manager for the above License.
$\qquad$

