



18 JUN 28 Lic. Lic. #1123

Arizona Department of Liquor Licenses and Control  
800 W Washington 5th Floor  
Phoenix, AZ 85007-2934  
www.azliquor.gov  
(602) 542-5141

**DLIC USE ONLY**

License #	09119007
Date Accepted:	6-28-18
CSR:	C.A.

Job # A1131339

Application for Liquor License  
Type or Print with Black Ink

**APPLICATION FEE AND INTERIM PERMIT FEES (IF APPLICABLE) ARE NOT REFUNDABLE**  
**A service fee of \$25 will be charged for all dishonored checks (A.R.S. § 44-6852)**

**SECTION 1 Type of License**

- ☐ Interim Permit  
☒ New License  
☐ Person Transfer (series 6, 7 and 9)  
☐ Location Transfer (series 6, 7 and 9)  
☐ Probate/ Will Assignment/ Divorce Decree (No Fees)  
☐ Seasonal

**SECTION 2 Type of Ownership**

- ☐ J.T.W.R.O.S.  
☐ Individual  
☐ Partnership  
☒ Corporation  
☐ Limited Liability Co  
☐ Club  
☐ Government  
☐ Trust  
☐ Tribe  
☐ Other (Explain) \_\_\_\_\_

- SECTION 3 Type of Privilege** ☐ Add Sampling Privilege for Series 9 and 10 only (Complete Sampling Privilege application)  
A.R.S. § 4-206.01 (G), (H), (I) & (L)  
☐ Add Growler privileges (restaurant, series 12, license only. 300-foot restriction applies)  
A.R.S. § 4-207(A) & (B)

1. Type of License (Series of license): Liquor Store

2. LICENSE # 09119002

**SECTION 4 Applicants**

1. Agent's Name: KWIATKOWSKI KIM KENNETH  
Last First Middle

2. Individual/Owner Name: CIRCLE K STORES INC.  
(Ownership name for type of ownership checked in section 2)

3. Business Name (Doing Business As-DBA): CIRCLE K STORE #3444

4. Business Location Address: 2933 S Tomahawk Rd Apache Junction AZ 85119 Pinal  
(Do not use PO Box) Street City State Zip Code County

5. Mailing Address: LICENSING DC-36, PO BOX 52085 PHOENIX AZ 85072-2085  
(All correspondence will be mailed to this address) Street City State Zip Code

6. Business Phone: (480) 982-3582 Daytime Contact Phone: (602) 728-4783

7. Email Address: azlicense@circlek.com

8. Is the Business located within the incorporated limits of the above city or town? ☒ Yes ☐ No  
If you checked no, in what City, Town, County or Tribal/Indian Community is this business located? \_\_\_\_\_

9. Total Price paid for Series 6 Bar, Series 7 Beer & Wine Bar or Series 9 Liquor Store (license only) \$ 80,300

Fees: <u>0</u>	<u>0</u>	Department Use Only <u>0</u>	<u>Pending/Consent</u>	\$ <u>0</u>
Application	Interim Permit	Site Inspection	Finger Prints	Total of All Fees

**SECTION 5 Interim Permit**

If you intend to operate business while the application is pending, you will need an interim permit pursuant to A.R.S.§4-203.01. For approval of an interim permit:

- There **must** be a valid license of the same series issued to the current location you are applying for, OR
- A Hotel/Motel license is being replaced with a restaurant license pursuant to A.R.S.§4-203.01(A)

1. Enter license number currently at the location: \_\_\_\_\_

2. Is the license currently in use? ☐ Yes ☐ No If no, how long has it been out of use? \_\_\_\_\_

**NOTARY**

I (Print Full Name) \_\_\_\_\_ hereby declare that I am the Agent, Current Owner, or Controlling Person on the stated license and location.

Signature: \_\_\_\_\_ State of \_\_\_\_\_ County of \_\_\_\_\_  
The foregoing instrument was acknowledged before me this

My Commission Expires on: \_\_\_\_\_ Date \_\_\_\_\_ Day of \_\_\_\_\_ Month \_\_\_\_\_ Year \_\_\_\_\_

\_\_\_\_\_  
Signature of Notary

**SECTION 6 Background Check**

EACH PERSON LISTED MUST SUBMIT A QUESTIONNAIRE, FINGERPRINT CARD, AND \$22 PROCESSING FEE PER CARD.

1. If the applicant is an entity, and not an individual, answer questions 1a-b.

a) Date Incorporated/Organized: 06/08/1951 State where Incorporated/Organized: Texas

b) AZ Corporation or AZ L.L.C. File No: F-0006598-0 Date authorized to do business in AZ: 04/08/1957

2. List any individual or entity that owns a beneficial interest of 10% or more and/or controls the applicant or licensee. If the applicant is owned by another entity, attach an organizational chart showing the ownership structure. Attach additional sheets as needed. Disclose all controlling persons and members, shareholders or general partners who own a beneficial interest of 10% or more of the applicant or licensee.

Last	First	Middle	Title	%Owned	Mailing Address	City	State	Zip
Haxel	Geoffrey	Charles	Pres/Sec/Dir	0	1130 W Warner Rd, Tempe, AZ 85284			
Cunnington	Kathleen	K	Treas/VP/Dir	0	1130 W Warner Rd, Tempe, AZ 85284			
Lapointe	Francis	(none)	Vice President	0	1130 W Warner Rd, Tempe, AZ 85284			
Kwiatkowski	Kim	Kenneth	Asst Secretary	0	1130 W Warner Rd, Tempe, AZ 85284			

(Attach additional sheet if necessary)

**SECTION 7 Probate, Receiver, Bankruptcy Trustee, Assignment, or Divorce Decree of an existing liquor license A.R.S.§4-204**

EACH PERSON LISTED MUST SUBMIT A QUESTIONNAIRE, FINGERPRINT CARD, AND \$22 PROCESSING FEE PER CARD.

1. Current Licensee's Name: \_\_\_\_\_

(Exactly as it appears on the license) Last First Middle

2. Assignee's Name: \_\_\_\_\_

Last First Middle

3. License Number: \_\_\_\_\_

**ATTACH A COPY OF THE DOCUMENT THAT SPECIFICALLY ASSIGNS THE LIQUOR LICENSE TO THE ASSIGNEE.**

18 JUN 28 11:12 AM

**Circle K Stores Inc.**  
**Texas 6/8/51**  
**Charter No. 0010697700**  
**FIN# 74-1149540**

	OFFICE	Name	BUSINESS ADDRESS
AZ DOL	* President and Secretary	Geoffrey C. Haxel	1130 W Warner Rd, Tempe, AZ 85284
AZ DOL	* Vice President & Secretary	Kathleen K Cunningham	1130 W Warner Rd, Tempe, AZ 85284
	* Sr Vice President	Darrell Davis	12911 Telecom Parkway, Tampa, FL 33637
	Sr Vice President	Dennis Tewell	1130 W Warner Rd, Tempe, AZ 85284
	Vice President	Pia Bach Henriksen	495 E Rincon, Suite 150, Corona, CA 92879
	Vice President	Paul Rodriquez	1130 W Warner Rd, Tempe, AZ 85284
AZ DOL	Vice President	Francis Lapointe	1130 W Warner Rd, Tempe, AZ 85284
	Vice President	David Morgan	12911 Telecom Parkway, Tampa, FL 33637
	Vice President	Brian Bednarz	25 W Cedar St, Suite 100, Pensacola, FL 35202
	Vice President	Timothy Alexander Miller	1130 W Warner Rd, Tempe, AZ 85284
	Vice President	Matt McCure	2440 Whitehall Park Dr., #800, Charlotte, NC 28273
	Vice President	Waymon (Butch) Seber	12911 Telecom Parkway, Tampa, FL 33637
	Vice President	Meredith Willard Rice, Jr.	305 Gregson Dr., Cary, NC 28273
	Vice President	Rodney Blanton	2440 Whitehall Park Dr., #800, Charlotte, NC 28273
	Vice President	Mark Tate	1199 S Beltline Rd, #160, Coppell, TX 75019
	Vice President	Steve Lattig	215 Pendelton St, Waycross, GA 61501
	Vice President	Mark Ostoits	2440 Whitehall Park Dr., #800, Charlotte, NC 28273
	Vice President	Kevin Lewis	2440 Whitehall Park Dr., #800, Charlotte, NC 28273
	Vice President	Mathew P Dolan	935 Tallmadge Ave, Akron, OH 44310
	Assistant Secretary	Patrick Panzarella	19500 Bulverde Rd, San Antonio, TX 78259
	Assistant Secretary	Scott J. Stevenson	1130 W Warner Rd, Tempe, AZ 85284
AZ DOL	Assistant Secretary	Kim Kwiatkowski	1130 W Warner Rd, Tempe, AZ 85284
	Assistant Secretary	Christine Anagnostou	4204 Boul. Industriel, Laval (Quebec) Canada H7L 0E3
	Assistant Secretary	Sarah Lynn Longwell	255 E Rincon, Suite 100, Corona, CA 92879
	Assistant Secretary	Michael L Foster	2440 Whitehall Park Dr., #800, Charlotte, NC 28273
	Assistant Secretary	Kyle Poyer	2440 Whitehall Park Dr., #800, Charlotte, NC 28273
	Assistant Secretary	Randy Home	12911 Telecom Parkway, Tampa, FL 33637
	Assistant Secretary	Luc Langevin	12911 Telecom Parkway, Tampa, FL 33637
	Assistant Secretary	Peter Uhlich	12911 Telecom Parkway, Tampa, FL 33637
	Assistant Secretary	Edward Giunia	12911 Telecom Parkway, Tampa, FL 33637
	Assistant Secretary	Kimberly Andrews	550 S Quebec St., Greenwood Village, CO 80111
	Assistant Secretary	John Little	305 Gregson Dr., Cary, NC 28273
	Assistant Secretary	Aaton Brooks	305 Gregson Dr., Cary, NC 28273

\* Director

Business Address: 1130 W. Warner Road, Bldg B, Tempe, AZ 85284 (602) 728-8000

Domicile Address: % CSC-Lawyers Incorporating Service Company, 701 Brazos Street, Suite 1050, Austin, TX 78701

12,000,000 Shares Authorized, 1,000 issued at \$1.00 Par. 100% owned by Circle K Delaware Inc. (FIN: 46-0520672)

Circle K Stores Inc. is qualified in:

AL, AZ, AR, CA, CO, FL, GA, , ID, KS, LA, MS,  
 MO, NV, NM, NC, OK, OR, SC, TN, TX, UT, WA

Corporate Structure:

Circle K Delaware Inc. is 100% owned by The Circle K Corporation (Geoffrey Haxel - President/Director)

The Circle K Corporation is 100% owned by Couche-Tard US Inc. (Geoffrey Haxel - President/Director)

Couche-Tard US Inc. is 100% owned by Mac's Convenience Stores Inc. (Geoffrey Haxel - President/Director)

Mac's Convenience Stores Inc. is 100% owned by Couche-Tard Inc. (Geoffrey Haxel - Sr. Vice President)

Couche-Tard Inc. is 100% owned by Depan-Escompte Couche-Tard Inc. (Geoffrey Haxel - Sr. Vice President)

Depan-Escompte Couche-Tard Inc. is 100% owned by Alimentation Couche-Tard Inc. (Geoffrey Haxel - Sr. Vice President)

Alimentation Couche-Tard Inc. is a publicly traded company (Geoffrey Haxel - Sr. Vice President)

**SECTION 8 Government (for Cities, Towns or Counties only)**

1. Government Entity: \_\_\_\_\_
2. Person/Designee: \_\_\_\_\_  
Last First Middle Daytime Contact Phone #

**SECTION 9 Person to Person Transfer ARS§4-203(C), (D), (G)  
(Bar and Liquor Stores only – Series 06, 07, and 09)**

1. License #: \_\_\_\_\_
2. Individual Owner/Agent Name: \_\_\_\_\_  
Last First Middle
3. Ownership Name: \_\_\_\_\_  
(Exactly as it appears on the license)
4. Current Business Name: \_\_\_\_\_  
(Exactly as it appears on the license)
5. Business Location Address: \_\_\_\_\_  
Street City State County Zip
6. Current Daytime Phone: \_\_\_\_\_ Primary Email Address: \_\_\_\_\_
7. Does current licensee intend to operate the business while this application is pending? ☐ Yes ☐ No
8. I, (Signature): \_\_\_\_\_ authorize the transfer of this license to the applicant.

**NOTARY**

I (Print Full Name) \_\_\_\_\_ hereby declare that I am the Individual Agent, Owner,  
Or Controlling Person on the stated license and location.

Signature: \_\_\_\_\_ State of \_\_\_\_\_ County of \_\_\_\_\_  
The foregoing instrument was acknowledged before me this

My Commission Expires on: \_\_\_\_\_ Date \_\_\_\_\_ Day of \_\_\_\_\_ Month \_\_\_\_\_ Year \_\_\_\_\_

\_\_\_\_\_  
Signature of Notary

**SECTION 10 Location Transfer– Current Licensee Information ARS§4-203(C), (D), (G)  
(Bar and Liquor Stores only – Series 06, 07, and 09)**

1. Current Business: Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
(exactly as it appears on license)
2. New Business: Name: \_\_\_\_\_  
Address: \_\_\_\_\_

**SECTION 11 Proximity to Church or School - Questions to be completed by 6, 7, 9, 10 and 12G applicants.**

**A.R.S.§4-207.** (A) and (B) state that no retailer's license shall be issued for any premises which are at the time the license application is received by the director, within three hundred (300) horizontal feet of a church, within three hundred (300) horizontal feet of a public or private school building with kindergarten programs or grades one (1) through (12), or within three hundred (300) horizontal feet of a fenced recreational area adjacent to such school building.

**The above paragraph DOES NOT apply to:**

- a) Restaurants that do not sell growlers (A.R.S.§4-205.02) Series 12
- b) Hotel/motel license (A.R.S.§4-205.01) Series 11
- c) Microbrewery (A.R.S.§4-205.08) Series 03
- d) Craft Distillery (A.R.S.§4-205.10) Series 18

- e) Government license (A.R.S.§4-205.03) Series 05
- f) Playing area of a golf course (A.R.S.§4-207 (B)(5))
- g) Wholesaler/Distributor Series 04
- h) Farm Winery Series 13
- i) Producer Series 01

1. Distance to nearest School: 1.5 Miles  
(If less than one (1) mile, note footage)

Name of School: Cactus Canyon Jr High School

Address: 801 W Southern Ave, Apache Junction, AZ 85120

2. Distance to nearest Church: 4,270 ft  
(If less than one (1) mile, note footage)

Name of Church: The Church of Jesus Christ of Lds

Address: 2520 E Old West Hwy, Apache Junction, AZ 85270

**SECTION 12 Business Financials A.R.S.§4-202(F)**

**1. I am the:**

- ☐ Tenant: a person who holds the lease of a property; a lessee.
- ☐ Sub-tenant: a person who holds a lease which was given to another person (tenant) for all or part of a property.
- ☒ Owner
- ☐ Purchaser
- ☐ Management Company

2. If the premises is leased give lessors: Name: na

Address: na  
Street City State Zip

3. What is the penalty if the lease is not fulfilled? \$ na or Other: \_\_\_\_\_

4. Total money borrowed for the Business, not including lease? \$ 0

Please List Lenders/People you owe money to for business.

Last	First	Middle	Amount Owed	Mailing Address	City	State	Zip
Wells Fargo Bank	Acct-4123020789		0	333 S Grand Ave, 12th fl, Los Angeles, CA 90071			

(Attach additional sheet if necessary)

5. Has a license or a transfer license for the premises on this application been denied by the state within the past year?

☐ Yes ☒ No

If yes, attach explanation.

6. Does any spirituous liquor manufacturer, wholesaler, or employee have an interest in your business?

☐ Yes ☒ No

If yes, attach explanation.

## **SECTION 13 Diagram of Premises**

Check ALL boxes that apply to your business:

☐ Walk-up or drive-through windows

☐ Patio: Contiguous

☐ Patio: Non-Contiguous within 30 feet

1. Is your licensed premises now closed due to construction, renovation or redesign or rebuild?

☐ Yes ☒ No If yes, what is your estimated completion date? \_\_\_\_/\_\_\_\_/\_\_\_\_

2. What type of business will this license be used for? (be Specific) Convenience Store

3. Please attach a diagram of the premises which clearly shows only the areas where spirituous liquor will be sold, served, consumed, dispensed, possessed or stored. Include entrances, exits, interior walls, bar areas, dining areas, dance floor, stage, game room and kitchen.

## **DO NOT INCLUDE**

Parking lots, living quarters or areas where business is not conducted under this liquor license. Please identify which orientation is North on the diagram.

4. Provide the square footage or outside dimensions of the licensed premises. Please do not include non-licensed areas such as parking lots, living quarters, etc.

# **ATTACH DIAGRAM**

**IMPORTANT NOTE:** As stated in A.R.S.§4-207.01 (B), it is the licensee's responsibility to notify the Department of Liquor Licenses and Control when there are changes to the service areas or the square footage of the licensed premises, either by increase or decrease.

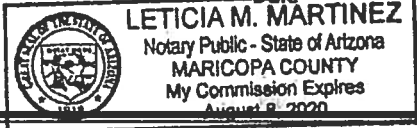
### **RESTAURANTS AND HOTELS/MOTELS ONLY**

(**IMPORTANT NOTE:** A site inspection must be conducted prior to activation of the license. A \$50.00 fee for the inspection will be due and payable upon submitting this application.)

5a. Provide a detailed drawing of the kitchen and dining areas, including the locations of all kitchen equipment and dining furniture. These are required as part of the diagram. A.R.S.§4-205.02(C)

5b. Provide a restaurant operation plan.

**SECTION 14 SIGNATURE BLOCK**

NOTARY	
I (Print Full Name) <u>Kim Kenneth Kwiatkowski</u>	hereby declare that I am the Individual Agent, Owner, Or Controlling Person on the stated license and location.
Signature: <u>[Signature]</u>	State of <u>Arizona</u> County of <u>Maricopa</u>
My Commission Expires on: <u>8/8/20</u>	The foregoing instrument was acknowledged before me this <u>27</u> Day of <u>June</u> , <u>2018</u> Day Month Year
	<u>[Signature]</u> Signature of Notary

**A.R.S. §41-1030. Invalidity of rules not made according to this chapter; prohibited agency action; prohibited acts by state employees; enforcement; notice**

B. An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.

D. THIS SECTION MAY BE ENFORCED IN A PRIVATE CIVIL ACTION AND RELIEF MAY BE AWARDED AGAINST THE STATE. THE COURT MAY AWARD REASONABLE ATTORNEY FEES, DAMAGES AND ALL FEES ASSOCIATED WITH THE LICENSE APPLICATION TO A PARTY THAT PREVAILS IN AN ACTION AGAINST THE STATE FOR A VIOLATION OF THIS SECTION.

E. A STATE EMPLOYEE MAY NOT INTENTIONALLY OR KNOWINGLY VIOLATE THIS SECTION. A VIOLATION OF THIS SECTION IS CAUSE FOR DISCIPLINARY ACTION OR DISMISSAL PURSUANT TO THE AGENCY'S ADOPTED PERSONNEL POLICY.

F. THIS SECTION DOES NOT ABROGATE THE IMMUNITY PROVIDED BY SECTION 12-820.01 OR 12-820.02.



~~Not part of licensed~~

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3100 5<sup>th</sup> St

50

## AS-BUILT PLAN P.2

- Store #:

Add: 2933 S. Tomahawk Rd. AJ ,AZ 85119







18 JUN 28 197. Lic. #1123

Arizona Department of Liquor Licenses and Control  
800 W Washington 5<sup>th</sup> Floor  
Phoenix, AZ 85007-2934  
www.azliquor.gov  
(602) 542-5141

*TP Current  
Rec'd 10/24/17  
P.A*

**QUESTIONNAIRE**  
A.R.S. §4-202, 4-210  
Type or Print with **Black Ink**

**The fees allowed by R19-1-102 will be charged for all dishonored checks.**

**ATTENTION APPLICANT:** This is a legally binding document. Please type or print in **black ink**. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

**Attention local governments:** Social security and birth date information is confidential. This information may be given to law enforcement agencies for background checks only.

**QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A BLUE OR BLACK LINED FINGERPRINT CARD ALONG WITH A \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE. FOR AN ADDITIONAL \$13 FEE, FINGERPRINTS MAY BE DONE AT THE DEPARTMENT OF LIQUOR WHEN ACCOMPANIED BY A COMPLETED APPLICATION.**

Liquor License#: 09119002

1. Check the  
Appropriate  
Box →

<input checked="" type="checkbox"/> Controlling Person	<input checked="" type="checkbox"/> Agent	<input type="checkbox"/> Premises Manager (complete all questions except #12)
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2. Name: Kwiatkowski Kim Kenneth Birth Date: 07/01/52  
Last First Middle (NOT a public record)

3. Social Security #: 526-94-4285 Driver License#: D00368940 State: AZ

4. Place of birth: Mesa AZ USA Height: 6'0" Weight: 220 Eyes: BR Hair: GRY  
City State COUNTRY (not county)

5. Name of current/most recent spouse: Kwiatkowski Janie Helen Birth Date: 12/26/55  
Last First Middle (NOT a public record)

6. Are you a bona fide resident of Arizona? ☒ Yes ☐ No If yes, what is your date of residency: 07/01/52

7. Daytime telephone number: 602-728-4783 E-mail address: kkwiatkowski@circlek.com

8. Business Name: Circle K Store #3444 Business Phone: 480/982/3582

9. Business Location Address: 2933 S Tomahawk Rd Apache Junction AZ Pinal 85119  
Street (do not use PO Box) City State County Zip

10. List your employment or type of business during the past five (5) years. If unemployed, retired, or student, list residence address.

FROM Month/Year	TO Month/Year	DESCRIBE POSITION OR BUSINESS	EMPLOYERS NAME OR NAME OF BUSINESS (Street Address, City, State & Zip)
04/94	CURRENT	Licensing Agent/Asst Secretary	Circle K Stores Inc. 1130 W Warner Rd, Tempe, AZ 85284

(ATTACH ADDITIONAL SHEET IF NECESSARY)

11. Provide your residence address information for the last five (5) years: A.R.S. §4-202(D)

FROM Month/Year	TO Month/Year	RESIDENTIAL Street Address
07/10	CURRENT	7853 S Michele Ln, Tempe, AZ 85284

(ATTACH ADDITIONAL SHEET IF NECESSARY)

12. As a Controlling Person or Agent, will you be physically present and operating the licensed premises?  
If you answered YES, then answer #13 below. If NO, skip to #14. ☐ Yes ☒ No
13. Have you attended a DLLC approved Basic & Management Liquor Law Training Course within the past 3 years? ☐ Yes ☐ No
14. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years? ☐ Yes ☒ No
15. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or summonses pending against you? (Do not include civil traffic tickets.) A.R.S. §4-202,4-210 ☐ Yes ☒ No
16. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? ☐ Yes ☒ No
17. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) ☐ Yes ☒ No
18. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) ☒ Yes ☐ No

If you answered "YES" to any Question 14 through 18 **YOU MUST** attach a signed statement.  
Give complete details including dates, agencies involved and dispositions.

CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED

**NOTARY**

I (Print Full Name) Kim Kenneth Kwiatkowski hereby declare that I am the Agent/ Controlling Person / Premises Manager filing this application. I have read this document and verify the contents and all statements are true, correct and complete, to the best of my knowledge.

Signature: [Signature]

State of Arizona

County of Maricopa

**Maricopa**

The foregoing instrument was acknowledged before me this

My Commission Expires on: 8/8/20

27 Day of June, 2018

Day

Month

Year



**LETICIA M. MARTINEZ**  
Notary Public - State of Arizona  
MARICOPA COUNTY  
My Commission Expires  
August 8, 2020

[Signature]  
Signature of Notary

The Licensee has authorized the person named on this questionnaire to act as manager for the above License.

PRINT NAME: \_\_\_\_\_

SIGNATURE: \_\_\_\_\_

Questionnaire Supplementary for AZ Dept of Liquor License & Control  
Entity Responses - Circle K Stores Inc

**QUESTION 18: Entity response for Circle K Stores Inc.**

Circle K Stores Inc Interest in any other alcoholic beverage business:

Alimentation Couche-Tard Inc is a publicly traded company and has several subsidiary's which operate approximately 10,200 retail convenience markets in the United States, Canada, and Europe under the following names.

Circle K  
Kangaroo Express  
Mac's  
Couche-Tard  
Statoil  
Ingo

Most of these sites have alcohol.

Circle K Stores Inc operates approximately 3,600 stores in 27 states.

Interest is limited to employee/officer relationship.

**License applications denied** - It is the company's policy to secure alcohol licenses prior to constructing/acquiring sites. If an agency recommends denial of a license application for just cause (close to school, church, high crime area, etc), the application is usually withdrawn and the site is not purchased.

**Licenses suspended/fined** - The company trains its employees on the techniques of alcohol management. Periodically an employee of ours may incur a violation related to alcohol. Some jurisdictions will only issue a citation to the employee, therefore it is difficult to obtain knowledge of these violations. When the company is also issued a citation, the company may be fined a dollar amount or the store is required to suspend sales for a period of time. A report of citations can be created by request for those citations where the company was fined.

**Licenses revoked** - To the best of our knowledge there has been no alcohol license revoked.

Signature: \_\_\_\_\_



Kim Kenneth Kwiatkowski - Assistant Secretary / Agent

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2018 AZ LIQUOR VIOLATIONS 2018												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	0	0	0	0	0		
						\$0.00		count=	0			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
2017 AZ LIQUOR VIOLATIONS 2017												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	1	0	0	0	1		
						\$750.00		count=	1			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
06-17	10071118	8596	3147	Peoria	\$750.00	1st	6/8/2017	AZ DOL	Yes	NO	Sold to minor	Joseph Aston
2016 AZ LIQUOR VIOLATIONS 2016												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	1	0	0	0	1		
						\$750.00		count=	1			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
01-16	10100124	1581	3187	South Tucson	\$750.00	1st	1/21/2016	AZ DOL	na	na	Sold to intoxicated person	Robert Zacarias Martinez
2015 AZ LIQUOR VIOLATIONS 2015												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	0	0	0	0	0		
						\$0.00		count=	0			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
none	none	none	none	none	none	none	none	none	none	none	none	none
2014 AZ LIQUOR VIOLATIONS 2014												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	0	0	0	0	0		
						\$750.00		count=	1			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
10-14	09-10040	2701582	-	Tucson	\$750.00	1st	10/15/2014	Tucson PD	na	na	Sold to intoxicated person	-
2013 AZ LIQUOR VIOLATIONS 2013												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	2	0	0	0	2		
						\$1,000.00		count=	2			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
10-13	10100124	2701581	Z3187	S Tucson	\$250.00	1st	9/8/2013	AZ DOL	na	na	Open Container on Premises	Cristian Robles
12-13	09-130027	2701535	Z3127	Sedona	\$750.00	1st	7/22/2013	Sedona PD	na	na	Sold to minor	Evan Dale Skibo



18 JUN 28 Lic. Lic. AM1123

Arizona Department of Liquor Licenses and Control  
800 W Washington 5<sup>th</sup> Floor  
Phoenix, AZ 85007-2934  
www.azliquor.gov  
(602) 542-5141

**QUESTIONNAIRE**  
A.R.S. § 4-202, 4-210  
Type or Print with **Black Ink**

804.601  
C.A.

**The fees allowed by R19-1-102 will be charged for all dishonored checks.**

**ATTENTION APPLICANT:** This is a legally binding document. Please type or print in **black ink**. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

**Attention local governments:** Social security and birth date information is confidential. This information may be given to law enforcement agencies for background checks only.

QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A BLUE OR BLACK LINED FINGERPRINT CARD ALONG WITH A \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE. FOR AN ADDITIONAL \$13 FEE, FINGERPRINTS MAY BE DONE AT THE DEPARTMENT OF LIQUOR WHEN ACCOMPANIED BY A COMPLETED APPLICATION.

Liquor License#: 09119002

1. Check the  
Appropriate  
Box →

<input checked="" type="checkbox"/> Controlling Person	<input type="checkbox"/> Agent	<input type="checkbox"/> Premises Manager (complete all questions except #12)
--	--------------------------------	--

2. Name: Cunnington (Kerr) Kathleen K Birth Date: 03 / 10 / 67  
Last First Middle (NOT a public record)

3. Social Security #: 312-74-6542 Driver License #: D07730536 State: AZ

4. Place of birth: Indianapolis IN USA Height: 5'9" Weight: 135 Eyes: BL Hair: BN  
City State COUNTRY (not country)

5. Name of current/most recent spouse: Cunnington Jeffrey David Birth Date: 03 / 01 / 66  
Last First Middle (NOT a public record)

6. Are you a bona fide resident of Arizona? ☒ Yes ☐ No If yes, what is your date of residency: May 2011

7. Daytime telephone number: 602-728-7137 E-mail address: kcunnington@circlek.com

8. Business Name: Circle K Store #3444 Business Phone: 480 / 982 / 3582

9. Business Location Address: 2933 S Tomahawk Rd Apache Junction AZ Pinal 85119  
Street (do not use PO Box) City State County Zip

10. List your employment or type of business during the past five (5) years. If unemployed, retired, or student, list residence address.

FROM Month/Year	TO Month/Year	DESCRIBE POSITION OR BUSINESS	EMPLOYERS NAME OR NAME OF BUSINESS (Street Address, City, State & Zip)
01/11	CURRENT	Treasurer/VP/Director	Circle K Stores Inc, 1130 W Warner Rd, Tempe, AZ 85284

(ATTACH ADDITIONAL SHEET IF NECESSARY)

11. Provide your residence address information for the last five (5) years: A.R.S. §4-202(D)

FROM Month/Year	TO Month/Year	RESIDENTIAL Street Address
01/17	CURRENT	3424 E Equestrian Trail, Phoenix, AZ 85044
05/11	01/17	14202 S 12th Place, Phoenix, AZ 85048

(ATTACH ADDITIONAL SHEET IF NECESSARY)

12. As a Controlling Person or Agent, will you be physically present and operating the licensed premises?  
If you answered YES, then answer #13 below. If NO, skip to #14. ☐ Yes ☒ No
13. Have you attended a DLLC approved Basic & Management Liquor Law Training Course within the past 3 years? ☐ Yes ☐ No
14. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years? ☐ Yes ☒ No
15. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or summonses pending against you? (Do not include civil traffic tickets.) A.R.S. §4-202, 4-210 ☐ Yes ☒ No
16. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? ☐ Yes ☒ No
17. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) ☐ Yes ☒ No
18. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) ☒ Yes ☐ No

If you answered "YES" to any Question 14 through 18 **YOU MUST** attach a signed statement.  
Give complete details including dates, agencies involved and dispositions.

CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED

#### NOTARY

I (Print Full Name) Kathleen K Cunningham hereby declare that I am the Agent/ Controlling Person / Premises Manager filing this application. I have read this document and verify the contents and all statements are true, correct and complete, to the best of my knowledge.

Signature: [Signature] State of Arizona County of Maricopa  
The foregoing instrument was acknowledged before me this  
My Commission Expires on: 8/8/20 27 Day of June, 2018  
Day Month Year



LETICIA M. MARTINEZ  
Notary Public - State of Arizona  
MARICOPA COUNTY  
My Commission Expires  
August 8, 2020

[Signature]  
Signature of Notary

The Licensee has authorized the person named on this questionnaire to act as manager for the above License.

PRINT NAME: \_\_\_\_\_ SIGNATURE: \_\_\_\_\_

Questionnaire Supplementary for AZ Dept of Liquor License & Control  
Entity Responses - Circle K Stores Inc

**QUESTION 18: Entity response for Circle K Stores Inc.**

Circle K Stores Inc Interest in any other alcoholic beverage business:

Alimentation Couche-Tard Inc is a publicly traded company and has several subsidiary's which operate approximately 10,200 retail convenience markets in the United States, Canada, and Europe under the following names.

Circle K  
Kangaroo Express  
Mac's  
Couche-Tard  
Statoil  
Ingo

Most of these sites have alcohol.

Circle K Stores Inc operates approximately 3,600 stores in 27 states.

Interest is limited to employee/officer relationship.

**License applications denied** - It is the company's policy to secure alcohol licenses prior to constructing/acquiring sites. If an agency recommends denial of a license application for just cause (close to school, church, high crime area, etc), the application is usually withdrawn and the site is not purchased.

**Licenses suspended/fined** - The company trains its employees on the techniques of alcohol management. Periodically an employee of ours may incur a violation related to alcohol. Some jurisdictions will only issue a citation to the employee, therefore it is difficult to obtain knowledge of these violations. When the company is also issued a citation, the company may be fined a dollar amount or the store is required to suspend sales for a period of time. A report of citations can be created by request for those citations where the company was fined.

**Licenses revoked** - To the best of our knowledge there has been no alcohol license revoked.

Signature: \_\_\_\_\_

Kathleen K Cunningham - Vice President / Treasurer

18 JUN 28 Lic. Lic. #1124

2018 AZ LIQUOR VIOLATIONS 2018												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	0	0	0	0	0		
					\$0.00			count=	0			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
2017 AZ LIQUOR VIOLATIONS 2017												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	1	0	0	0	1		
					\$750.00			count=	1			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
06-17	10071118	8596	3147	Peoria	\$750.00	1st	6/8/2017	AZ DOL	Yes	NO	Sold to minor	Joseph Aston
2016 AZ LIQUOR VIOLATIONS 2016												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	1	0	0	0	1		
					\$750.00			count=	1			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
01-16	10100124	1581	3187	South Tucson	\$750.00	1st	1/21/2016	AZ DOL	na	na	Sold to intoxicated person	Robert Zacarias Martinez
2015 AZ LIQUOR VIOLATIONS 2015												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	0	0	0	0	0		
					\$0.00			count=	0			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
none	none	none	none	none	none	none	none	none	none	none	none	none
2014 AZ LIQUOR VIOLATIONS 2014												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	0	0	0	0	0		
					\$750.00			count=	1			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
10-14	09-10040	2701582	-	Tucson	\$750.00	1st	10/15/2014	Tucson PD	na	na	Sold to intoxicated person	-
2013 AZ LIQUOR VIOLATIONS 2013												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	2	0	0	0	2		
					\$1,000.00			count=	2			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
10-13	10100124	2701581	Z3187	S Tucson	\$250.00	1st	9/6/2013	AZ DOL	na	na	Open Container on Premises	Cristian Robles
12-13	09-130027	2701535	Z3127	Sedona	\$750.00	1st	7/22/2013	Sedona PD	na	na	Sold to minor	Evan Dale Skibo





18 JUN 28 Lic. Lic. #1124

Arizona Department of Liquor Licenses and Control  
800 W Washington 5th Floor  
Phoenix, AZ 85007-2934  
www.azliquor.gov  
(602) 542-5141

*Fr Amend  
Recd 5/30/18  
C-A*

**QUESTIONNAIRE**  
A.R.S. §4-202, 4-210  
Type or Print with **Black Ink**

The fees allowed by R19-1-102 will be charged for all dishonored checks.

**ATTENTION APPLICANT:** This is a legally binding document. Please type or print in **black ink**. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

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Liquor License#: 09119002

1. Check the  
Appropriate  
Box →

<input checked="" type="checkbox"/> Controlling Person	<input type="checkbox"/> Agent	<input type="checkbox"/> Premises Manager (complete all questions except #12)
--	--------------------------------	--

2. Name: Lapointe Francis (none) Birth Date: 04 / 29 / 1973  
Last First Middle (NOT a public record)

3. Social Security #: 873-10-7782 Driver License #: D10260301 State: AZ

4. Place of birth: Montreal Quebec Canada Height: 6'2" Weight: 195 Eyes: BR Hair: BR  
City State COUNTRY (not county)

5. Name of current/most recent spouse: Chaput Veronique (none) Birth Date: 03 / 27 / 1975  
Last First Middle (NOT a public record)

6. Are you a bona fide resident of Arizona? ☒ Yes ☐ No If yes, what is your date of residency: 08/08/2017

7. Daytime telephone number: 480-495-0971 E-mail address: flapoint@circlek.com

8. Business Name: Circle K Store #3444 Business Phone: 480 / 982 / 3582

9. Business Location Address: 2933 S Tomahawk Rd Apache Junction AZ Pinal 85119  
Street (do not use PO Box) City State County Zip

10. List your employment or type of business during the past five (5) years. If unemployed, retired, or student, list residence address.

FROM Month/Year	TO Month/Year	DESCRIBE POSITION OR BUSINESS	EMPLOYERS NAME OR NAME OF BUSINESS (Street Address, City, State & Zip)
08/2017	CURRENT	Vice President	Circle K Stores Inc. 1130 W Warner Rd, Tempe, AZ 85284
06/2012	08/2017	Vice President	Couche-Tard Inc., 4204 Industriel Blvd, Laval, Quebec, H73 OE3 (Circle K Stores Inc. is a subsidiary of Couche Tard Inc.)

(ATTACH ADDITIONAL SHEET IF NECESSARY)

11. Provide your residence address information for the last five (5) years: A.R.S. §4-202(D)

FROM Month/Year	TO Month/Year	RESIDENTIAL Street Address
08/2017	CURRENT	1251 W Honeysuckle Ln, Chandler, AZ 85248
01/2013	08/2017	630 Cr. Landreville, Mascouche, Quebec, Canada J7K 0S8

(ATTACH ADDITIONAL SHEET IF NECESSARY)

12. As a Controlling Person or Agent, will you be physically present and operating the licensed premises?  
If you answered YES, then answer #13 below. If NO, skip to #14. ☐ Yes ☒ No
13. Have you attended a DLLC approved Basic & Management Liquor Law Training Course within the past 3 years? ☐ Yes ☒ No
14. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years? ☒ Yes ☐ No
15. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or summonses pending against you? (Do not include civil traffic tickets.) A.R.S. §4-202,4-210 ☐ Yes ☒ No
16. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? ☐ Yes ☒ No
17. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) ☐ Yes ☒ No
18. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) ☒ Yes ☐ No

If you answered "YES" to any Question 14 through 18 **YOU MUST** attach a signed statement.  
Give complete details including dates, agencies involved and dispositions.

CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED

#### NOTARY

I (Print Full Name) Francis Lapointe hereby declare that I am the Agent/ Controlling Person / Premises Manager filing this application. I have read this document and verify the contents and all statements are true, correct and complete, to the best of my knowledge.

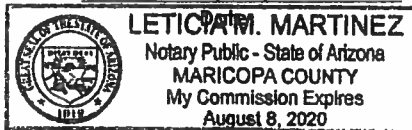
Signature: [Signature]

State of Arizona County of Maricopa

The foregoing instrument was acknowledged before me this

My Commission Expires on: 8/8/20

27 Day of June, 2018  
Day Month Year



[Signature]  
Signature of Notary

The Licensee has authorized the person named on this questionnaire to act as manager for the above License.

PRINT NAME: \_\_\_\_\_ SIGNATURE: \_\_\_\_\_

**Questionnaire Supplementary for AZ Dept of Liquor License & Control  
Entity Responses - Circle K Stores Inc**

**QUESTION 14:** On December 13, 2017 Francis Lapointe was charged under ARS 28-1381 by the Tempe Police Department, but has not been convicted.

**QUESTION 18: Entity response for Circle K Stores Inc.**

Circle K Stores Inc Interest in any other alcoholic beverage business:

Alimentation Couche-Tard Inc is a publicly traded company and has several subsidiary's which operate approximately 10,200 retail convenience markets in the United States, Canada, and Europe under the following names.

Circle K  
Kangaroo Express  
Mac's  
Couche-Tard  
Statoil  
Ingo

Most of these sites have alcohol.

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Interest is limited to employee/officer relationship.

**License applications denied** - It is the company's policy to secure alcohol licenses prior to constructing/acquiring sites. If an agency recommends denial of a license application for just cause (close to school, church, high crime area, etc), the application is usually withdrawn and the site is not purchased.

**Licenses suspended/fined** - The company trains its employees on the techniques of alcohol management. Periodically an employee of ours may incur a violation related to alcohol. Some jurisdictions will only issue a citation to the employee, therefore it is difficult to obtain knowledge of these violations. When the company is also issued a citation, the company may be fined a dollar amount or the store is required to suspend sales for a period of time. A report of citations can be created by request for those citations where the company was fined.

**Licenses revoked** - To the best of our knowledge there has been no alcohol license revoked.

Signature: \_\_\_\_\_

Francis Lapointe - Vice President

\*18 JUN 28 147. Lic. AM1124

2018 AZ LIQUOR VIOLATIONS 2018												
		Violations:	Dismissed	1st	2nd	3rd	4th	Total				
		Number of:	0	0	0	0	0	0				
				\$0.00		count=	0					
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
2017 AZ LIQUOR VIOLATIONS 2017												
		Violations:	Dismissed	1st	2nd	3rd	4th	Total				
		Number of:	0	1	0	0	0	1				
				\$750.00		count=	1					
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
06-17	10071118	8598	3147	Peoria	\$750.00	1st	6/8/2017	AZ DOL	Yes	NO	Sold to minor	Joseph Aston
2016 AZ LIQUOR VIOLATIONS 2016												
		Violations:	Dismissed	1st	2nd	3rd	4th	Total				
		Number of:	0	1	0	0	0	1				
				\$750.00		count=	1					
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
01-16	10100124	1581	3187	South Tucson	\$750.00	1st	1/21/2016	AZ DOL	na	na	Sold to intoxicated person	Robert Zacarias Martinez
2015 AZ LIQUOR VIOLATIONS 2015												
		Violations:	Dismissed	1st	2nd	3rd	4th	Total				
		Number of:	0	0	0	0	0	0				
				\$0.00		count=	0					
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
none	none	none	none	none	none	none	none	none	none	none	none	none
2014 AZ LIQUOR VIOLATIONS 2014												
		Violations:	Dismissed	1st	2nd	3rd	4th	Total				
		Number of:	0	0	0	0	0	0				
				\$750.00		count=	1					
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
10-14	09-10040	2701582	-	Tucson	\$750.00	1st	10/15/2014	Tucson PD	na	na	Sold to intoxicated person	-
2013 AZ LIQUOR VIOLATIONS 2013												
		Violations:	Dismissed	1st	2nd	3rd	4th	Total				
		Number of:	0	2	0	0	0	2				
				\$1,000.00		count=	2					
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
10-13	10100124	2701581	Z3187	S Tucson	\$250.00	1st	9/6/2013	AZ DOL	na	na	Open Container on Premises	Cristian Robles
12-13	09-130027	2701535	Z3127	Sedona	\$750.00	1st	7/22/2013	Sedona PD	na	na	Sold to minor	Evan Dale Skibo



18 JUN 28 Lic. Lic. #1124

Arizona Department of Liquor Licenses and Control  
800 W Washington 5<sup>th</sup> Floor  
Phoenix, AZ 85007-2934  
www.azliquor.gov  
(602) 542-5141

*For Consent  
Rec'd 8/11/16  
CA*

**QUESTIONNAIRE**  
A.R.S. § 4-202, 4-210  
Type or Print with **Black Ink**

**The fees allowed by R19-1-102 will be charged for all dishonored checks.**

**ATTENTION APPLICANT:** This is a legally binding document. Please type or print in **black ink**. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

**Attention local governments:** Social security and birth date information is confidential. This information may be given to law enforcement agencies for background checks only.

QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A BLUE OR BLACK LINED FINGERPRINT CARD ALONG WITH A \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE. FOR AN ADDITIONAL \$13 FEE, FINGERPRINTS MAY BE DONE AT THE DEPARTMENT OF LIQUOR WHEN ACCOMPANIED BY A COMPLETED APPLICATION.

Liquor License#: 09119002

1. Check the  
Appropriate  
Box →

<input checked="" type="checkbox"/> Controlling Person	<input type="checkbox"/> Agent	<input type="checkbox"/> Premises Manager (complete all questions except #12)
--	--------------------------------	--

2. Name: Haxel Geoffrey Charles Birth Date: 11 / 06 / 61  
Last First Middle (NOT a public record)

3. Social Security #: 445-70-1829 Driver License #: D00253803 State: AZ

4. Place of birth: Ponca City OK USA Height: 5'11" Weight: 248 Eyes: BL Hair: BR  
City State COUNTRY (not county)

5. Name of current/most recent spouse: Haxel Lori Glyn Birth Date: 11 / 16 / 64  
Last First Middle (NOT a public record)

6. Are you a bona fide resident of Arizona? ☒ Yes ☐ No If yes, what is your date of residency: August 2011

7. Daytime telephone number: 602-728-4302 E-mail address: ghaxel@circlek.com

8. Business Name: Circle K Store #3444 Business Phone: 480 / 982 / 3582

9. Business Location Address: 2933 S Tomahawk Rd Apache Junction AZ Pinal 85119  
Street (do not use PO Box) City State County Zip

10. List your employment or type of business during the past five (5) years. If unemployed, retired, or student, list residence address.

FROM Month/Year	TO Month/Year	DESCRIBE POSITION OR BUSINESS	EMPLOYERS NAME OR NAME OF BUSINESS (Street Address, City, State & Zip)
08/01	CURRENT	President/Secretary/Director	Circle K Stores Inc. 1130 W Warner Rd, Tempe, AZ 85284

(ATTACH ADDITIONAL SHEET IF NECESSARY)

11. Provide your residence address information for the last five (5) years: A.R.S. §4-202(D)

FROM Month/Year	TO Month/Year	RESIDENTIAL Street Address
07/15	CURRENT	8496 E Tumbleweed Drive, Scottsdale, AZ 85266
08/01	07/15	7849 E Vista Bonita Drive, Scottsdale, AZ 85255

(ATTACH ADDITIONAL SHEET IF NECESSARY)

12. As a Controlling Person or Agent, will you be physically present and operating the licensed premises?  
If you answered YES, then answer #13 below. If NO, skip to #14. ☐ Yes ☒ No
13. Have you attended a DLLC approved Basic & Management Liquor Law Training Course within the past 3 years? ☐ Yes ☐ No
14. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years? ☐ Yes ☒ No
15. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or summonses pending against you? (Do not include civil traffic tickets.) A.R.S. §4-202,4-210 ☐ Yes ☒ No
16. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? ☐ Yes ☒ No
17. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) ☐ Yes ☒ No
18. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) ☒ Yes ☐ No

If you answered "YES" to any Question 14 through 18 **YOU MUST** attach a signed statement.  
Give complete details including dates, agencies involved and dispositions.

CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED

#### NOTARY

I (Print Full Name) **Geoffrey Charles Haxel** hereby declare that I am the Agent/ Controlling Person / Premises Manager filing this application. I have read this document and verify the contents and all statements are true, correct and complete, to the best of my knowledge.

Signature: *Geoffrey C. Haxel*

State of Arizona County of Maricopa

The foregoing instrument was acknowledged before me this

My Commission Expires on: 8/8/20

27 Day of June, 2018

Date

Day

Month

Year



LETICIA M. MARTINEZ  
Notary Public - State of Arizona  
MARICOPA COUNTY  
My Commission Expires  
8/8/2020

*Leticia M. Martinez*  
Signature of Notary

The Licensee has authorized the person named on this questionnaire to act as manager for the above License.

PRINT NAME: \_\_\_\_\_ SIGNATURE: \_\_\_\_\_

Questionnaire Supplementary for AZ Dept of Liquor License & Control  
Entity Responses - Circle K Stores Inc

**QUESTION 18: Entity response for Circle K Stores Inc.**

Circle K Stores Inc Interest in any other alcoholic beverage business:

Alimentation Couche-Tard Inc is a publicly traded company and has several subsidiary's which operate approximately 10,200 retail convenience markets in the United States, Canada, and Europe under the following names.

Circle K  
Kangaroo Express  
Mac's  
Couche-Tard  
StatOil  
Ingo

Most of these sites have alcohol.

Circle K Stores Inc operates approximately 3,600 stores in 27 states.


Interest is limited to employee/officer relationship.

**License applications denied** - It is the company's policy to secure alcohol licenses prior to constructing/acquiring sites. If an agency recommends denial of a license application for just cause (close to school, church, high crime area, etc), the application is usually withdrawn and the site is not purchased.

**Licenses suspended/fined** - The company trains its employees on the techniques of alcohol management. Periodically an employee of ours may incur a violation related to alcohol. Some jurisdictions will only issue a citation to the employee, therefore it is difficult to obtain knowledge of these violations. When the company is also issued a citation, the company may be fined a dollar amount or the store is required to suspend sales for a period of time. A report of citations can be created by request for those citations where the company was fined.

**Licenses revoked** - To the best of our knowledge there has been no alcohol license revoked.

Signature: \_\_\_\_\_

  
Geoffrey Charles Haxel - President / Secretary

\*18 JUN 28 Lic. Lic. M1124

2018 AZ LIQUOR VIOLATIONS 2018												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	0	0	0	0	0		
					\$0.00			count=	0			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
2017 AZ LIQUOR VIOLATIONS 2017												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	1	0	0	0	1		
					\$750.00			count=	1			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
06-17	10071118	8596	3147	Peoria	\$750.00	1st	8/8/2017	AZ DOL	Yes	NO	Sold to minor	Joseph Aston
2016 AZ LIQUOR VIOLATIONS 2016												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	1	0	0	0	1		
					\$750.00			count=	1			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
01-16	10100124	1581	3187	South Tucson	\$750.00	1st	1/21/2016	AZ DOL	na	na	Sold to intoxicated person	Robert Zacarias Martinez
2015 AZ LIQUOR VIOLATIONS 2015												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	0	0	0	0	0		
					\$0.00			count=	0			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
none	none	none	none	none	none	none	none	none	none	none	none	none
2014 AZ LIQUOR VIOLATIONS 2014												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	0	0	0	0	0		
					\$750.00			count=	1			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
10-14	09-10040	2701582	-	Tucson	\$750.00	1st	10/15/2014	Tucson PD	na	na	Sold to intoxicated person	-
2013 AZ LIQUOR VIOLATIONS 2013												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	2	0	0	0	2		
					\$1,000.00			count=	2			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
10-13	10100124	2701581	Z3187	S Tucson	\$250.00	1st	9/6/2013	AZ DOL	na	na	Open Container on Premises	Cristian Robles
12-13	09-130027	2701535	Z3127	Sedona	\$750.00	1st	7/22/2013	Sedona PD	na	na	Sold to minor	Evan Dale Skibo





18 JUN 28 147. Lic AM1124

Arizona Department of Liquor Licenses and Control  
800 W Washington 5th Floor  
Phoenix, AZ 85007-2934  
www.azliquor.gov  
(602) 542-5141

**QUESTIONNAIRE**  
A.R.S. § 4-202, 4-210  
Type or Print with **Black Ink**

*Top Current  
Row 3/19/18  
C.A*

**The fees allowed by R19-1-102 will be charged for all dishonored checks.**

**ATTENTION APPLICANT:** This is a legally binding document. Please type or print in **black ink**. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

**Attention local governments:** Social security and birth date information is confidential. This information may be given to law enforcement agencies for background checks only.

QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A BLUE OR BLACK LINED FINGERPRINT CARD ALONG WITH A \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE. FOR AN ADDITIONAL \$13 FEE, FINGERPRINTS MAY BE DONE AT THE DEPARTMENT OF LIQUOR WHEN ACCOMPANIED BY A COMPLETED APPLICATION.

Liquor License#: 09119002

1. Check the  
Appropriate  
Box →

<input type="checkbox"/> Controlling Person	<input type="checkbox"/> Agent	<input checked="" type="checkbox"/> Premises Manager (complete all questions except #12)
---	--------------------------------	---

2. Name: Meza Janet Kay Birth Date: 02/15/57  
Last First Middle (NOT a public record)

3. Social Security #: 567-98-5895 Driver License #: D02732825 State: AZ

4. Place of birth: Glenellen AK USA Height: 5'8" Weight: 185 Eyes: BR Hair: BR  
City State COUNTRY (not country)

5. Name of current/most recent spouse: Meza Hernando (none) Birth Date: 04/10/55  
Last First Middle (NOT a public record)

6. Are you a bona fide resident of Arizona? ☒ Yes ☐ No If yes, what is your date of residency: October 1972

7. Daytime telephone number: 602-728-7750 E-mail address: jmeza@circlek.com

8. Business Name: Circle K Store #3444 Business Phone: 480/982/3582

9. Business Location Address: 2933 S Tomahawk Rd Apache Junction AZ Pinal 85119  
Street (do not use PO Box) City State County Zip

10. List your employment or type of business during the past five (5) years. If unemployed, retired, or student, list residence address.

FROM Month/Year	TO Month/Year	DESCRIBE POSITION OR BUSINESS	EMPLOYERS NAME OR NAME OF BUSINESS (Street Address, City, State & Zip)
08/98	CURRENT	Convenience Store	Circle K Stores Inc. 1130 W Warner Rd, Tempe, AZ 85284

(ATTACH ADDITIONAL SHEET IF NECESSARY)

11. Provide your residence address information for the last five (5) years: A.R.S. §4-202(D)

FROM Month/Year	TO Month/Year	RESIDENTIAL Street Address
10/91	CURRENT	10411 E Rushlight Dr, Tucson, AZ 85748

(ATTACH ADDITIONAL SHEET IF NECESSARY)

12. As a Controlling Person or Agent, will you be physically present and operating the licensed premises?  
If you answered YES, then answer #13 below. If NO, skip to #14. ☐ Yes ☐ No
13. Have you attended a DLLC approved Basic & Management Liquor Law Training Course within the past 3 years? ☒ Yes ☐ No
14. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years? ☐ Yes ☒ No
15. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or summonses pending against you? (Do not include civil traffic tickets.) A.R.S. §4-202,4-210 ☐ Yes ☒ No
16. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? ☐ Yes ☒ No
17. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) ☐ Yes ☒ No
18. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) ☒ Yes ☐ No

If you answered "YES" to any Question 14 through 18 **YOU MUST** attach a signed statement.  
Give complete details including dates, agencies involved and dispositions.

CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED

#### NOTARY

I (Print Full Name) Janet Kay Meza hereby declare that I am the Agent/ Controlling Person / Premises Manager filing this application. I have read this document and verify the contents and all statements are true, correct and complete, to the best of my knowledge.

Signature: Janet Kay Meza

State of Arizona County of Maricopa

The foregoing instrument was acknowledged before me this

My Commission Expires on: 8/8/20

27 Day of June, 2018  
Day Month Year



LETICIA M. MARTINEZ  
Notary Public - State of Arizona  
MARICOPA COUNTY  
My Commission Expires  
August 8, 2020

Leticia M. Martinez  
Signature of Notary

The Licensee has authorized the person named on this questionnaire to act as manager for the above License.

PRINT NAME: Kim Kenneth Kwiatkowski

SIGNATURE: [Signature]

Questionnaire Supplementary for AZ Dept of Liquor License & Control  
Entity Responses - Circle K Stores Inc

**QUESTION 16: Entity response for Circle K Stores Inc.**

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**Licenses revoked** - To the best of our knowledge there has been no alcohol license revoked.

NAME:

  
Janet Kay Meza

18 JUN 28 Lir. Lic. RM1124

2018 AZ LIQUOR VIOLATIONS 2018												
				Violations:	Dismissed	1st	2nd	3rd	4th	Total		
				Number of:	0	0	0	0	0	0		
						\$0.00		count=	0			
MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
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MTH	LICENSE-NO.	STORE	ZONE	CITY	AMT	1st,2nd...4th	V-DATE	AGENCY	CUB	ASK-ID	VIOLATION	EMPLOYEE
none	none	none	none	none	none	none	none	none	none	none	none	none
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12-13	09-130027	2701535	Z3127	Sedona	\$750.00	1st	7/22/2013	Sedona PD	na	na	Sold to minor	Evan Dale Skibo

Certificate # \_\_\_\_\_

18 JUN 28 14. Lic. AM1124

Certificate of Completion  
For  
Title 4 **BASIC** Liquor Law Training

☐ On-sale  
☒ Off-sale  
☐ On- and off-sale

A Certificate of Completion must be on a form provided by the Arizona Department of Liquor. Certificates are completed by a state-approved training provider and, when issued, the Certificate is signed by the course participant.

The State requires BASIC Title 4 training only as a prerequisite for MANAGEMENT Title 4 training or as a result of a liquor law violation. Persons required to have BASIC Title 4 training are listed at the base of this Certificate. Licensees sometimes require BASIC Title 4 Training a condition of employment.

A replacement Certificate of Completion for Title 4 training must be available through the training provider for two years after the training completion date.

Student Information

JANET K. MEZA

Full Name (please print)

Janet K. Meza

Signature

9-25-2013

Training Completion Date

9-25-2018

Certificate Expiration Date  
(three years from completion date)

Training Provider Information

**Circle K Stores, Inc.**

Company Name

1130 W Warner Bldg. #B Tempe AZ 85284

Mailing Address

(602) 728-8000

Daytime Contact Phone Number

I, Jarrod Wells

Instructor Name (please print)

certify that the above named individual did successfully complete Title 4 BASIC Training in accordance with A.R.S. §4-112(G)(2) and Arizona Administrative Code (A.A.C.) R19-1-103 using training course content and materials approved by the Arizona Department of Liquor Licenses and Control. I understand that misuse of this Certificate of Completion can result in the revocation of State-approval for the Title 4 Training Provider named in this section as provided by A.A.C. R19-1-103(E) and (F).

Jarrod Wells

Instructor Signature

25/09/2015

Day Mo Year

Persons required to complete BASIC & MANAGEMENT Title 4 training: 1) owner(s) actively involved in the daily business operations of a liquor-licensed business of a series listed below  
2) licensees, agents and managers actively involved in the daily business operations of a liquor-licensed business of a series listed below

In-state Microbrewery (series 3)  
Conveyance (series 8)  
Restaurant (series 12)

Government (series 5)  
Liquor Store (series 9)  
In-state Farm Winery (series 13)

Bar (series 6)  
Private Club (series 14)

Beer & Wine Bar (series 7)  
Hotel/Motel w/restaurant (series 11)  
Beer & Wine Store (series 10)

Liquor license applications (initial and renewal) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.

The questionnaire (which designates a manager to a location) and the agent change form (which assigns a new agent to active liquor licenses) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.

July 11, 2013

Certificate # \_\_\_\_\_

18 JUN 28 Lic. Lic. #11124

Certificate of Completion  
For  
Title 4 **MANAGEMENT** Liquor Law Training

A Certificate of Completion must be on a form provided by the Arizona Department of Liquor. Certificates are completed by a state-approved training provider and, when issued, the Certificate is signed by the course participant.

Basic Title 4 training is a prerequisite for MANAGEMENT Title 4 training. A valid Certificate of Completion for BASIC Title 4 training must be on file at the Department of Liquor and satisfactory completion of a State-approved BASIC Title 4 course must be verified by the training provider prior to issuing a Certificate of Completion for MANAGEMENT Title 4 training.

A replacement Certificate of Completion for Title 4 training must be available through the training provider for two years after the training completion date.

Student Information

Janet K. Meza

Full Name (please print)

*Janet K. Meza*

Signature

09/25/15

09/25/18

Training Completion Date

Certificate Expiration Date  
(three years from completion date)

Training Provider Information

**Circle K Stores, Inc.**

Company Name

1130 W Warner Bldg B Tempe, AZ 85284

Mailing Address

(602) 728-8000

Daytime Contact Phone Number

I, Jarrod Wells, certify that the above named individual did successfully complete  
Instructor Name (please print)

Title 4 MANAGEMENT Training in accordance with A.R.S. §4-112(G)(2) and Arizona Administrative Code (A.A.C.) R19-1-103 using training course content and materials approved by the Arizona Department of Liquor Licenses and Control. I understand that misuse of this Certificate of Completion can result in the revocation of State-approval for the Title 4 Training Provider named in this section as provided by A.A.C. R19-1-103(E) and (F).

*Jarrod Wells*

Instructor Signature

25/09/2015

Day Mo Year

Persons required to complete BASIC & MANAGEMENT Title 4 training: 1) owner(s) actively involved in the daily business operations of a liquor-licensed business of a series listed below  
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